OC. 03A

A/60p

#2/2 11.8.1 2-22-90

Thereby certify that this correspondence is being deposited with the United States Postal service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on  $\frac{12-1-19}{12-12-12}$ .

By Denise Scharger

CGNE-62-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Comai et al.

Serial No. 07/431,429

Filed: November 3, 1989

For: FIGWORT PLANT PROMOTER
AND USES

AND USES

DExaminer: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), the applicants have provided the undersigned with the references cited on attached PTO Form 1449, copies of which are enclosed for the convenience of the Examiner.

Wu et al. (1988), "Comparative Analysis of Caulimovirus

Promoters in Protoplasts", Phytopathology Meetings, 78:#38, reports

comparison of figwort mosaic virus (FMV) 19S promoter activity, FMV

35S promoter activity and cauliflower mosaic virus (CaMV) 35S

promoter activity, using the chloramphenical acetyltransferase (CAT)

gene as a reporter, in tobacco protoplasts.

DEC 26 1989

Nagy et al. (1985), "Properties of Expression of the 35S

Promoter from CaMV in Transgenic Tobacco Plants", Biotechnology in

Plant Science, 227-235, reports the use of the 35S promoter to

initiate transcription of the CAT gene in transgenic tobacco and

petunia.

Odell et al. (1985), "Identification of DNA sequences required for the activity of the cauliflower mosaic virus 35S promoter", Nature, 313:810-812, reports identification of particular DNA sequences required for CaMV 35S promoter activity.

Gardner <u>et al</u>. (1981), "The complete nucleotide sequence of an infectious clone of cauliflower mosaic virus by M13mp7 shotgun sequencing", <u>Nucleic Acids Research 9</u>:2871-2881, reports complete nucleotide sequence of cauliflower mosaic virus (CaMV).

Respectfully submitted,

Date: 12/8/89

Bv:

Elizabeth Lassen

Reg. No. 31,845

CALGENE, INC. 1920 Fifth Street Davis, CA 95616 (916) 753-6313

Enclosures: 1. Form PTO-1449

Copies of references cited on Form PTO-1449 Richins et al. (1987), "Sequence of figwort mosaic virus DNA (caulimovirus group)", Nucleic Acids Research 15:8451-8466, reports the nucleic acid sequence of figwort mosaic virus (FMV) DNA. FMV DNA sequence is compared to the DNA sequence of cauliflower mosaic virus (CaMV) and the DNA sequence of carnation etched ring virus (CERV).

Shepherd et al. (1987), "Figwort mosaic virus: properties of the virus and its adaptation to a new host", Phytopathology 77:1668-1673, reports properties and characterization of figwort mosaic virus (FMV) and physical nature of FMV nucleic acid; compares properties of FMV nucleic acid and CaMV nucleic acid.

Fang et al. (1989), "Multiple cis Regulatory Elements for Maximal Expression of the Cauliflower Mosaic Virus 35S Promoter in Transgenic Plants", The Plant Cell 1:141-150, reports activities of 3 functional cis regulatory regions of the CaMV 35S promoter in transgenic tobacco.

Odell et al. (1988), "Properties of an isolated transcription stimulating sequence derived from the cauliflower mosaic virus 35S promoter", Plant Molecular Biology 10:263-272, reports the use of a 338 base pair region, isolated from the CaMV 35S promoter, to enhance transcription from the nopaline synthase (NOS) promoter.

Ow et al. (1987), "Functional regions of the cauliflower mosaic virus 35S RNA promoter determined by use of the firefly luciferase gene as a reporter of promoter activity", Proceedings of the National Academy of Sciences 84:4870-4874, reports varying functions of three elements found within the CaMV 35S promoter.